



# **Antitrust Compliance Policy**

December 2017

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**“All AUO employees should not only comply with this policy but should extend the spirit of integrity into all that we do. We must realize that only by insisting upon consistent integrity will we be able to maintain the long-term reputation of the Company.”**

**– Paul S.L. Peng, Chairman**

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**If you suspect a violation of this Policy or a violation of any antitrust laws, you are expected to report it to AUO's Legal Office or to AUO's Chief of Antitrust Compliance, Dr. Xin-Wu Lin, immediately. You may also make a report to AUO's anonymous email hotline, [compliance@auo.com](mailto:compliance@auo.com). AUO takes all reports of potential antitrust issues seriously and will not penalize or retaliate against anyone in any way for providing information to the Legal Office or Dr. Lin, or reporting any potential violation, in good faith. Any incidents of retaliation should also be reported to the Legal Office immediately.**

## **Relationships with Competitors**

**Independent Pricing, Capacity Allocation, and Sales Decisions**

**No Competitor Communications**

## **Dual Relationship**

## **Boycotts or Refusals to Deal**

## **Trade Associations**

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## **Relationships with Suppliers, Distributors, and Customers**

**Resale Price Maintenance**

**Distributor Restrictions**

**Exclusive Contracts**

**Tying Arrangements**

**Documents, Email, and Presentations**

**No Retaliation**

**Conclusion**



**Contact General Counsel Paul KP Lee at [Paul.KP.Lee@auo.com](mailto:Paul.KP.Lee@auo.com) or Chief of Antitrust Compliance Dr. Xin-Wu Lin at [Xw.Lin@auo.com](mailto:Xw.Lin@auo.com) if you have any questions about whether activities might violate AUO's Antitrust Compliance Policy or to report a potential violation. You may also make a report to AUO's anonymous email hotline, [compliance@auo.com](mailto:compliance@auo.com).**